

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

UNITED STATES COURTS
SOUTHERN DISTRICT OF TEXAS
FILED

NOV 06 2001 JG

MICHAEL N. MILBY, CLERK OF COURT

MARK NEWBY,

Plaintiff,

ENRON CORPORATION, ANDREW S.
FASTOW, KENNETH L. LAY, and
JEFFREY J. SKILLING

Defendants.

Civil Action No. H-01-3624

CERTIFICATE OF FINANCIALLY INTERESTED PARTIES

TO THE HONORABLE UNITED STATES DISTRICT JUDGE:

COMES NOW Plaintiff, Mark Newby in the above entitled and numbered cause and files this Certificate of Financial Interest in accordance with paragraph 2 of the Order for Conference and Disclosure of Interested Parties.

The following persons and corporations are or maybe financially interested in the outcome of this litigation:

1. Mark Newby, Plaintiff;
2. Tom Alan Cunningham and Richard Zook and the law firm of Cunningham, Darlow, Zook & Chapoton, L.L.P. and Thomas G. Shapiro and the law firm of Shapiro Haber & Urmy, L.L.P., as attorneys for Mark Newby;
3. Frank Wilson, Plaintiff in related case;
4. The law firms of Hackerman Frankel & Manela, Berger & Montague, P.C., and Schatz & Nobel, P.C. as attorneys for Frank Wilson;

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5. Seth Abrahams, Plaintiff in related case;
6. Steven Frank, Plaintiff in related case;
7. The law firms of Hoeffner & Bilek, L.L.P., Milberg Weiss Bershad Hynes & Lerach, L.L.P., Cauley Bowman & Coates, Richardson, Stoops, Richardson & Ward, Law Offices of Bernard M. Gross, P.C., as attorneys for Seth Abrahams and Steven Frank;
8. Robert J. Casey, II, Plaintiff in related case;
9. Ruth I. Horton, Plaintiff in related case;
10. The law firms of Hoeffner & Bilek, L.L.P. and Law Office of Alfred G. Yates, Jr., as attorneys for Robert J. Casey, II and Ruth I. Horton;
11. J. Michael Gottesman, Plaintiff in related case;
12. The law firms of Hoeffner & Bilek, L.L.P. and Stull, Stull & Brody, as attorneys for J. Michael Gottesman;
13. Avigayil Greenberg, Plaintiff in related case;
14. The law firms of Hoeffner & Bilek, L.L.P. and Bernstein Liebhard & Lifshitz, L.L.P., as attorneys for Avigayil Greenberg;
15. Robert Christianson, Plaintiff in related case;
16. The law firms of Hoeffner & Bilek, L.L.P., Stull, Stull & Brody and Weiss & Yourman, as attorneys for Robert Christianson;
17. Ernest Gottdiener, Plaintiff in related case;
18. The law firms of Hoeffner & Bilek, L.L.P. and Bull & Lifshitz, L.L.P., as attorneys for Ernest Gottdiener;
19. John P. McCarthy for the John P. McCarthy Money Purchase Plan, Plaintiff in related case;

20. The law firms of Hoeffner & Bilek, L.L.P. and Spector, Roseman & Kodroff, P.C., as attorneys for John P. McCarthy for the John P. McCarthy Money Purchase Plan;

21. Pirelli Armstrong Tire Corporation Retiree Medical Benefits Trust, Plaintiff in related case;

22. The law firms of Baskin Law Firm, Barrett, Johnston & Parsley, Salvett Frutkin Podell & Ryan, P.C. and Alfred G. Yates, Jr., as attorneys for Pirelli Armstrong Tire Corporation Retiree Medical Benefits Trust;

23. Joseph E. Kassoway, as the Trustee of the Joseph E. Kassoway and Robert T. Kassoway Trust, Plaintiff in related case;

24. The law firms of Friedman, Young, Suder & Cooke and Morris & Morris, as attorneys for Joseph E. Kassoway, as the Trustee of the Joseph E. Kassoway and Robert T. Kassoway Trust;

25. Henry H. Steiner, Plaintiff in related case;

26. The law firms of McGehee & Pianelli, L.L.P. and Wolf Haldestein Adler Freeman & Herz, L.L.P., as attorneys for Henry H. Steiner;

27. Julius Neumann, Plaintiff in related case;

28. The law firm of Cunningham, Darlow, Zook & Chapoton, L.L.P., as attorneys for Julius Neumann;

29. Jeffrey Feigenbaum, Plaintiff in related case;

30. The law firms of Hoeffner & Bilek & Eidman, L.L.P. and Bernstein Liebhard & Lifshitz, L.L.P., as attorneys for Jeffrey Feigenbaum;


31. Jerald Katleman, Plaintiff in related case;

32. The law firms of Stanley, Mandel & Iola, L.L.P., Hulett Harper, L.L.P. and Emge & Associates, as attorneys for Jerald Katleman;
33. Fred Greenberg, Plaintiff in related case;
34. The law firms of Puls, Taylor & Woodson, L.L.P. and Law Offices of James V. Bashian, as attorneys for Fred Greenberg;
35. Martin Gubernick, Plaintiff in related case;
36. The law firms of Gartner Law Firm, P.C. and Schiffrin & Barroway, L.L.P., as attorneys for Martin Gubernick;
37. Enron Corporation, Defendant, is an Oregon corporation;
38. Charles Schwartz and the law firm of Vinson & Elkins, L.L.P. and Stephen D. Susman and the law firm of Susman Godfrey, L.L.P., as attorneys for Enron Corporation;
39. Jeffrey J. Skilling, Defendant, was Enron's President and Chief Executive Officer and a member of the Board of Directors of Enron until his resignation in August 2001;
40. Andrew S. Fastow, Defendant, is the former Executive Vice-President and Chief Financial Officer of Enron;
41. The law firms of Boies, Schiller & Flexner, L.L.P. and Smyser Kaplan & Veselka, L.L.P., as attorneys for Andrew S. Fastow;
42. Robert A. Belfer, Defendant, is Director of Enron;
43. Norman P. Blake, Jr., Defendant, is a Director of Enron;
44. Ronnie C. Chan, Defendant, is a Director of Enron;
45. John H. Duncan, Defendant, is a Director of Enron;
46. Wendy L. Gramm, Defendant, is a Director of Enron;
47. Ken L. Harrison, Defendant, is a Director of Enron;

48. Robert K. Jaedicke, Defendant is a Director of Enron;
49. Kenneth L. Lay, Defendant, is President and Chief Executive Officer and Chairman of the Board of Enron;
50. The law firm of Gibbs & Bruns, L.L.P., as attorney for Kenneth L. Lay;
51. Charles A. Lemaistre, Defendant is a Director of Enron;
52. John Mendelsohn, Defendant is a Director of Enron;
53. Jerome J. Meyer, Defendant is a Director of Enron;
54. Paulo V. Ferraz Pereira, Defendant is a Director of Enron;
55. Frank Savage, Defendant is a Director of Enron;
56. John A. Urquhart, Defendant is a Director of Enron;
57. John Wakeham, Defendant is a Director of Enron;
58. Herbert S. Winokur, Jr., Defendant is a Director of Enron; and
59. Mark Frevert, Defendant is Vice-Chairman of the Board of Enron.

Respectfully submitted,

CUNNINGHAM, DARLOW, ZOOK
& CHAPOTON, L.L.P.

By: 

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CERTIFICATE OF SERVICE

This is to certify that a true and correct copy of the above and foregoing instrument has been forwarded to all counsel of record via United States certified mail, return receipt requested, facsimile and/or hand delivered on this 6th day of November 2001.

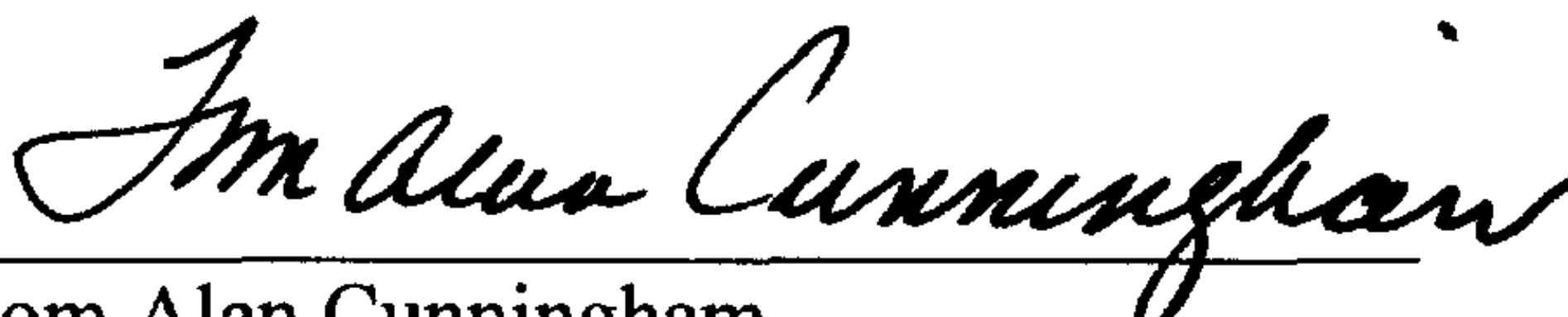
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